



Attachment A
Conflict of Interest Code
EXHIBIT A (Final Draft)

Entity: Water Districts

Agency: South Orange County Wastewater Authority (SOCWA)

Position	Disclosure Category	Files With	Status
Capital Improvement Program Manager (CIP)	OC-05	COB	Renamed
Reason: Position title is being renamed from Procurement & Contracts Manager to Capital Improvement Program Manager (CIP).			
Chief Technology Officer	OC-08	COB	Renamed
Reason: Position title is being renamed from Information Technology (IT) Supervisor/Manager to Chief Technology Officer.			
Consultant	OC-30	Agency	Unchanged
Deputy General Manager/Chief Engineer	OC-05	COB	Renamed
Reason: Position title is being renamed from Director of Engineering to Deputy General Manager/Chief Engineer.			
Environmental Service Manager	OC-05	COB	Renamed
Reason: Position title is being renamed from Director of Environmental Compliance to Environmental Service Manager.			
Human Resources (HR) Administrator	OC-11	COB	Renamed
Reason: Position title is being renamed from Human Resources (HR) Supervisor/Manager to Human Resources (HR) Administrator.			
Legal Counsel	OC-01	COB	Unchanged
Operations Superintendent	OC-05	COB	Renamed
Reason: Position title is being renamed from Director of Operations to Operations Superintendent.			

Total: 8

OFFICIALS WHO ARE SPECIFIED IN GOVERNMENT CODE SECTION 87200

Officials who are specified in Government Code section 87200 (including officials who manage public investments, as defined by 2 Cal. Code of Regs. § 18700.3 (b)), are NOT subject to the Agency's Conflict of Interest Code, but are subject to the disclosure requirements of the Political Reform Act, Government Code section 87100, et seq. Gov't Code § 87203. These positions are listed here for informational purposes only.

The positions listed below are officials who are specified in Government Code section 87200:

Alternate Member of the Board of Directors	Files with	FPPC - SB852	Unchanged
Consulting Investment Advisor/Manager	Files with	FPPC - SB852	Unchanged
Controller/Finance Manager	Files with	FPPC - SB852	Deleted
Reason: Position title is being deleted from the designated filers list.			
General Manager/Treasurer	Files with	FPPC - SB852	Renamed
Reason: Position title is being renamed from General Manager to include Treasurer.			
Member of the Board of Directors	Files with	FPPC - SB852	Unchanged
Treasurer	Files with	FPPC - SB852	Deleted
Reason: Position duties absorbed by General Manager.			

The disclosure requirements for these positions are set forth in Government Code section 87200, et. seq. They require the disclosure of interests in real property in the agency's jurisdiction, as well as investments, business positions and sources of income (including gifts, loans and travel payments).



Attachment A
Disclosure Descriptions
EXHIBIT B (Final Draft)

Entity: Water Districts

Agency: South Orange County Wastewater Authority (SOCWA)

Disclosure Category	Disclosure Description	Status
87200 Filer	Form 87200 filers shall complete all schedules for Form 700 and disclose all reportable sources of income, interests in real property, investments and business positions in business entities, if applicable, pursuant to Government Code Section 87200 <i>et seq.</i>	Unchanged
OC-01	All interests in real property in Orange County, the authority or the District as applicable, as well as investments, business positions and sources of income (including gifts, loans and travel payments).	Unchanged
OC-05	All investments in, business positions with and income (including gifts, loans and travel payments) from sources that provide services, supplies, materials, machinery, equipment (including training and consulting services) used by the County Department, Authority or District, as applicable.	Unchanged
OC-08	All investments in, business positions with and income (including gifts, loans and travel payments) from sources that develop or provide computer hardware/software, voice data communications, or data processing goods, supplies, equipment, or services (including training and consulting services) used by the County Department, Authority or District, as applicable.	Unchanged
OC-11	All interests in real property in Orange County or located entirely or partly within the Authority or District boundaries as applicable, as well as investments in, business positions with and income (including gifts, loans and travel payments) from sources that are engaged in the supply of equipment related to recruitment, employment search & marketing, classification, training, or negotiation with personnel; employee benefits, and health and welfare benefits.	Unchanged
OC-30	Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest category in the code subject to the following limitation: The County Department Head/Director/General Manager/Superintendent/etc. may determine that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure required. The determination of disclosure is a public record and shall be filed with the Form 700 and retained by the Filing Officer for public inspection.	Unchanged

Grand Total: 6